

Class field theory in Pari/GP

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Plan

- 1 Class field theory
- 2 Algorithms
- 3 Norm relations

Class field theory

Goal

Let F be a number field.

Ultimate goal: classify all Galois extensions K/F and their Galois groups.

Reasonable goal (class field theory): classify all Galois extensions K/F with **abelian** Galois group.

Frobenius elements

Let K/F be a Galois extension of number fields with Galois group G .

\mathfrak{p} prime ideal of \mathbb{Z}_F and $\mathfrak{P} \mid \mathfrak{p}\mathbb{Z}_K$ prime ideal.

All other $\mathfrak{P}' \mid \mathfrak{p}\mathbb{Z}_K$ are $\mathfrak{P}' = \mathfrak{P}^\sigma$ for $\sigma \in G$.

If \mathfrak{p} is unramified, there exist a **Frobenius element** $\text{Frob}_{\mathfrak{P}} \in G$ such that for all $\alpha \in \mathbb{Z}_K$ we have

$$\text{Frob}_{\mathfrak{P}}(\alpha) = \alpha^{N(\mathfrak{p})} \pmod{\mathfrak{P}}.$$

As \mathfrak{P}' varies, the $\text{Frob}_{\mathfrak{P}'}$ form a conjugacy class $\text{Frob}_{\mathfrak{p}}$.

Example: cyclotomic fields

Let $K = \mathbb{Q}(\zeta_m)$, Galois over \mathbb{Q} with Galois group $G \cong (\mathbb{Z}/m\mathbb{Z})^\times$:

$$\sigma_a: \zeta_m \mapsto \zeta_m^a \text{ for } a \in (\mathbb{Z}/m\mathbb{Z})^\times.$$

Let p be a prime number not dividing m , so that p is unramified in K , and let \mathfrak{P} be a prime dividing $p\mathbb{Z}_K$.

Since G is abelian, Frob_p is a well-defined element of G .

We have (tautologically!)

$$\zeta_m^p = \zeta_m^p \text{ mod } \mathfrak{P}.$$

Therefore $\text{Frob}_p = \sigma_p$.

Kronecker–Weber theorem

Case $F = \mathbb{Q}$ of class field theory.

Theorem

Let K/\mathbb{Q} be a Galois extension with abelian Galois group. Then there exists m such that

$$K \subset \mathbb{Q}(\zeta_m).$$

By Galois theory, there exists a subgroup $H \subset (\mathbb{Z}/m\mathbb{Z})^\times$ such that

$$K = \mathbb{Q}(\zeta_m)^H \text{ and } \text{Gal}(K/\mathbb{Q}) \cong (\mathbb{Z}/m\mathbb{Z})^\times / H.$$

Modulus

We need a generalisation of $(\mathbb{Z}/m\mathbb{Z})^\times$ to other number fields F .

A **modulus** of F is a pair $\mathfrak{M} = (\mathfrak{M}_f, \mathfrak{M}_\infty)$ where

- \mathfrak{M}_f is a nonzero ideal of \mathbb{Z}_F , and
- \mathfrak{M}_∞ a set of real embeddings of F .

Let \mathfrak{M} be a modulus of F . Let $\alpha \in F^\times$. We write

$$\alpha \equiv^* 1 \pmod{\mathfrak{M}}$$

- if $v_{\mathfrak{p}}(\alpha - 1) \geq v_{\mathfrak{p}}(\mathfrak{M}_f)$ for all \mathfrak{p} dividing \mathfrak{M}_f , and
- $\sigma(\alpha) > 0$ for every $\sigma \in \mathfrak{M}_\infty$.

Define

$$(\mathbb{Z}_F/\mathfrak{M})^\times = (\mathbb{Z}_F/\mathfrak{M}_f)^\times \times \{\pm 1\}^{\mathfrak{M}_\infty}.$$

Ray class groups

The **ray class group** of modulus \mathfrak{m} is

$$\mathrm{Cl}_F(\mathfrak{m}) = \frac{(\text{fractional ideals coprime to } \mathfrak{m}_f)}{(\text{ideals } \alpha\mathbb{Z}_F \text{ with } \alpha \equiv^* 1 \pmod{\mathfrak{m}})}.$$

This is a finite abelian group, and we have an exact sequence

$$1 \longrightarrow (\mathbb{Z}_F/\mathfrak{m})^\times / \mathbb{Z}_F^\times \longrightarrow \mathrm{Cl}_F(\mathfrak{m}) \longrightarrow \mathrm{Cl}_F \longrightarrow 1.$$

Example: $\mathrm{Cl}_{\mathbb{Q}}(m\infty) = (\mathbb{Z}/m\mathbb{Z})^\times$, $\mathrm{Cl}_{\mathbb{Q}}(m) = (\mathbb{Z}/m\mathbb{Z})^\times / \{\pm 1\}$.

Ray class fields

Theorem

Let F be a number field and \mathfrak{m} a modulus. There exists a unique Galois extension $F(\mathfrak{m})$ of F , called the **ray class field** of modulus \mathfrak{m} such that the extension $F(\mathfrak{m})/F$ is unramified away of the primes dividing \mathfrak{m}_f , and such that the map

$$\text{Cl}_F(\mathfrak{m}) \longrightarrow \text{Gal}(F(\mathfrak{m})/F)$$

defined by $\mathfrak{p} \mapsto \text{Frob}_{\mathfrak{p}}$ is well-defined and is an isomorphism.

Example: $\mathbb{Q}(m_{\infty}) = \mathbb{Q}(\zeta_m)$, $\mathbb{Q}(m) = \mathbb{Q}(\zeta_m)^+ = \mathbb{Q}(\zeta_m + \zeta_m^{-1})$.

Exhaustivity

Theorem

Let K/F be a Galois extension with abelian Galois group. Then there exists \mathfrak{M} such that

$$K \subset F(\mathfrak{M}).$$

By Galois theory, there exists a subgroup $H \subset \text{Cl}_F(\mathfrak{M})$ such that

$$K = F(\mathfrak{M})^H \text{ and } \text{Gal}(K/F) \cong \text{Cl}_F(\mathfrak{M})/H.$$

Algorithms

Buchmann's algorithm

Goal: given a number field F , compute Cl_F .

Let S be a set of primes of F . The group $\mathbb{Z}_{F,S}^\times$ of **S -units** of F is the set of elements $\alpha \in F^\times$ such that $v_p(\alpha) = 0$ for all $p \notin S$.

Algorithm:

- 1 Choose S set of primes generating Cl_F (GRH).
- 2 Find S -units $R \subset \mathbb{Z}_{F,S}^\times$ (**hard!**).
- 3 Compute $C = \mathbb{Z}^S / \langle R \rangle$ and $U = \ker(\langle R \rangle \rightarrow \mathbb{Z}^S)$.
- 4 Check if $\langle R \rangle = \mathbb{Z}_{F,S}^\times$ using class number formula.
- 5 Output C .

Computing class groups

Notation: absolute value of discriminant Δ_F , degree n .

Assuming GRH:

- Heuristic: complexity $\exp(\tilde{O}(\log \Delta_F)^\alpha)$ for $1/3 \leq \alpha \leq 2/3$.
(rigorous version by de Boer–Pellet–Mary–Wesolowski)
- Practice: impossible for $n > 150$.

Some orders of magnitude:

- low degree: $n = 2$, $\Delta_F \sim 10^{154}$ (record);
- medium degree: $n = 20$, $\Delta_F \sim 10^{85}$ (a few hours);
- high degree: $n \sim 100$ (record 116, $\Delta_F \sim 10^{273}$).

Unconditionally: $\tilde{O}(\Delta_F^{1/2})$.

Computing ray class groups

Recall the exact sequence

$$1 \longrightarrow (\mathbb{Z}_F/\mathfrak{M})^\times / \mathbb{Z}_F^\times \longrightarrow \text{Cl}_F(\mathfrak{M}) \longrightarrow \text{Cl}_F \longrightarrow 1.$$

Algorithm (computing $\text{Cl}_F(\mathfrak{M})$):

- 1 Compute Cl_F .
- 2 **Factor** \mathfrak{M}_f .
- 3 Compute $(\mathbb{Z}_F/\mathfrak{M})^\times$ by Chinese Remainder Theorem.
- 4 Compute image of \mathbb{Z}_F^\times in $(\mathbb{Z}_F/\mathfrak{M})^\times$ (**can be hard**).
- 5 Stitch together to obtain $\text{Cl}_F(\mathfrak{M})$.

Discrete logarithm problem

Finite field \mathbb{F}_q , generator g of \mathbb{F}_q^\times .

Problem: given $h \in \mathbb{F}_q^\times$, compute $x \in \mathbb{Z}/(q-1)\mathbb{Z}$ such that

$$h = g^x.$$

Available algorithms:

- **generic:** complexity polynomial $\times \sqrt{\ell}$ where ℓ is the largest prime factor of $q-1$.
- **sieves** (NFS/FFS): complexity $\exp(\tilde{O}(\log q)^{1/3})$.
- very small characteristic: quasi-polynomial.

Kummer theory

Special case: assume $\zeta_\ell \in F$ for some integer ℓ .

Theorem

Let K/F be a Galois extension with $\text{Gal}(K/F) \cong C_\ell$. Then there exists $\alpha \in F^\times$ such that $K = F(\alpha^{1/\ell})$.

More intrinsically:

$$\text{Hom}(\text{Gal}(\bar{F}/F), \mathbb{Z}/\ell\mathbb{Z}) \cong F^\times / (F^\times)^\ell.$$

Effective class field theory

Goal: computing the class field K corresponding to $G = \text{Cl}_F(\mathfrak{M})/H$.

By splitting G into cyclic components C_ℓ , reduce to cyclic G .

Algorithm (case $\text{Cl}_F(\mathfrak{M})/H \cong C_\ell$):

- 1 Compute $F_\ell = F(\zeta_\ell)$.
- 2 Choose appropriate set S of primes of F_ℓ .
- 3 Compute group U of S -units of F_ℓ (**as hard as class group of F_ℓ !**).
- 4 Find $\alpha \in U$ such that $K(\zeta_\ell) = F_\ell(\alpha^{1/\ell})$.
- 5 Descend $K(\zeta_\ell)/F_\ell$ to obtain K/F .

Norm relations

Using automorphisms

Question: assume F has a nontrivial group G of automorphisms. Can we use this to compute Cl_F faster?

- Use action of G to get extra S -units for free.
- Use structure of module over the group ring for faster linear algebra?
- By Galois theory, F has many subfields.

Examples: under GRH

- $F = \mathbb{Q}(\zeta_{6552})$
- $n = 1728$
- $\Delta_F = 2^{3456} \cdot 3^{2592} \cdot 7^{1440} \cdot 13^{1584} \approx 10^{5258}$
- $(\log \Delta_F)^2 \approx 10^8$

Cl_F computed in 4 hours on a laptop (single core).

- $\text{rk}_2 \text{Cl}_F = 112$
- $\text{rk}_3 \text{Cl}_F = 101$
- $h_{6552}^+ = 70695077806080 = 2^{24} \cdot 3^3 \cdot 5 \cdot 7^4 \cdot 13 \approx 7 \cdot 10^{13}$

Examples: unconditionally

- $F = \mathbb{Q}(\zeta_{2520})$
- $n = 576$
- $\Delta_F = 2^{1152} \cdot 3^{864} \cdot 5^{432} \cdot 7^{480} \approx 10^{1466}$
- Minkowski bound $\approx 10^{515}$

Cl_F computed in 44 hours with a single core.

- $\text{rk}_2 \text{Cl}_F = 38$
- $\text{rk}_3 \text{Cl}_F = 15$
- $h_{2520}^+ = 208 = 2^4 \cdot 13$

Notations

Notation for a set X : $\mathbb{Z}[X] = \bigoplus_{x \in X} \mathbb{Z}x$

Let G be a finite group: $\mathbb{Z}[G]$ is a ring.

A $\mathbb{Z}[G]$ -**module** is an abelian group M with an action of G by linear automorphisms.

Notation: the group of **fixed points** is

$$M^G = \{m \in M \mid gm = m \text{ for all } g \in G\}.$$

Norm relations

For $H \leq G$, define the **norm element**

$$N_H = \sum_{h \in H} h \in \mathbb{Z}[G].$$

Wada, Bauch–Bernstein–de Valence–Lange–van Vredendaal,
Biaasse–van Vredendaal: $G = C_2 \times C_2 = \langle \sigma, \tau \rangle$.

$$2 = N_{\langle \sigma \rangle} + N_{\langle \sigma \rangle} - \sigma N_{\langle \sigma \tau \rangle}.$$

Parry, Lesavourey–Plantard–Susilo: $G = C_3 \times C_3 = \langle u, v \rangle$.

$$3 = N_{\langle u \rangle} + N_{\langle v \rangle} + N_{\langle uv \rangle} - (u + uv)N_{\langle u^2 v \rangle}.$$

Norm relations

A **norm relation** is an equality

$$d = \sum_{i=1}^k a_i N_{H_i} b_i$$

with $a_i, b_i \in \mathbb{Z}[G]$ and $d \in \mathbb{Z}_{>0}$.

Proposition

Let M be a $\mathbb{Z}[G]$ -module. Then the exponent of

$$M / (\mathbb{Z}[G] \cdot M^{H_1} + \dots + \mathbb{Z}[G] \cdot M^{H_k})$$

is finite and divides d .

Proof : Let $m \in M$. Then

$$dm = \sum_i a_i N_{H_i} b_i m \in \sum_i a_i M^{H_i}.$$

S-units

Apply to M the S -unit group of F :

The S -units of the subfields $F_i = F^{H_i}$ generate a $\mathbb{Z}[G]$ -submodule of finite index in the S -units of F .

Algorithm (S -units with a norm relation):

- 1 For each subfield $F_i = F^{H_i}$, compute S -unit group $\mathbb{Z}_{F_i, S}^\times$.
- 2 Compute $\mathbb{Z}[G]$ -module generated by all $\mathbb{Z}_{F_i, S}^\times$.
- 3 Extract all possible d -th powers to obtain $\mathbb{Z}_{F, S}^\times$.
- 4 Output $\mathbb{Z}_{F, S}^\times$.

Reduction to the subfields

Theorem (Biassé–Fieker–Hofmann–P.)

Assume GRH. Let G be a group admitting a norm relation. For any F with an action of G the computation of $\mathbb{Z}_{F,S}^\times$ reduces in polynomial time to the computation of $\mathbb{Z}_{F_1,S}^\times, \dots, \mathbb{Z}_{F_k,S}^\times$.

Existence of norm relations

When do such relations exist?

Theorem

A finite group G admits a norm relation if and only if G contains

- *a non-cyclic subgroup of order pq (p, q , primes not necessarily distinct), or*
- *a subgroup isomorphic to $SL_2(\mathbb{F}_p)$ where $p = 2^{2^k} + 1$ is a Fermat prime with $k > 1$.*

Takeaway: G is “far from cyclic”.

Back to the example

- $K = \mathbb{Q}(\zeta_{6552})$
- $n = 1728$
- Galois group $G \cong C_{12} \times C_6^2 \times C_2^2$
- Relation with $d = 1$ reducing to 62 subfields of degree ≤ 192 .
- Relations with d a power of 2 or 3 reducing to 672 subfields of degree ≤ 12 .

Without automorphisms?

Question: What if F has no automorphisms?

- F has a Galois closure \tilde{F} with a large automorphism group $G = \text{Gal}(\tilde{F}/\mathbb{Q})$. Can we use this?
- \tilde{F} has many subfields.
- On F , what remains of the action of G on \tilde{F} ?

Hecke operators of finite groups

Let $H \subset G$ be a subgroup.

We have an isomorphism

$$\mathrm{Hom}_{\mathbb{Z}[G]}(\mathbb{Z}[G/H], M) \cong M^H$$

given by $\varphi \mapsto \varphi(1 \cdot H)$.

This gives the **Hecke ring**

$$\mathrm{Hom}_{\mathbb{Z}[G]}(\mathbb{Z}[G/H], \mathbb{Z}[G/H]) \cong \mathbb{Z}[G/H]^H \cong \mathbb{Z}[H \backslash G/H].$$

For every $T \in \mathbb{Z}[H \backslash G/H]$, we get a **Hecke operator**

$$T: M^H \longrightarrow M^H$$

by precomposition.

Galois theory and Hecke operators

For every F :

- the Galois group G acts on the Galois closure \tilde{F} , and
- there is a subgroup $H \subset G$ such that $F = \tilde{F}^H$.

If $U \subset G$ is a subgroup, **Hecke operators** T give morphisms

$$T: (\tilde{F}^U)^\times \longrightarrow (\tilde{F}^H)^\times = F^\times.$$

More precisely, morphisms of algebraic groups

$$T: \text{Res}_{\tilde{F}^U/\mathbb{Q}} \mathbb{G}_m \longrightarrow \text{Res}_{F/\mathbb{Q}} \mathbb{G}_m.$$

Generalised norm relations and S -units

Definition

G a finite group, subgroups $H \subset G$ and $U_1, \dots, U_k \subset G$. Say that G admits a **norm relation** with respect to $(U_1, \dots, U_k; H)$ if there exists a surjective morphism of $\mathbb{Q}[G]$ -modules

$$\bigoplus_i \mathbb{Q}[G/U_i]^{n_i} \longrightarrow \mathbb{Q}[G/H].$$

Proposition

If there is such a norm relation, then the image under Hecke operators of

$$\mathbb{Z}_{F_1, S}^\times, \dots, \mathbb{Z}_{F_k, S}^\times$$

has **finite index** in $\mathbb{Z}_{F, S}^\times$, where $F_i = \tilde{F}^{U_i}$.

Algorithmic consequence

Theorem (Étienne)

Assume GRH. If there is a norm relation, then the computation of $\mathbb{Z}_{F,S}^\times$ reduces in polynomial time to the computation of $\mathbb{Z}_{F_1,S}^\times, \dots, \mathbb{Z}_{F_k,S}^\times$.

Questions ?

Thank you !